# Efficient parallel string comparison 

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## Outline

(1) Introduction

- Bulk-Synchronous Parallelism
- String comparison
(2) Sequential LCS algorithms
- Sequential semi-local LCS
- Divide-and-conquer semi-local LCS
(3) The parallel algorithm
- Parallel score-matrix multiplication
- Parallel LCS computation


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## BSP Algorithms

## Bulk-Synchronous Parallelism

Model for parallel computation:
E L. G. Valiant.
A bridging model for parallel computation. Communications of the ACM, 33:103-111, 1990.

Main ideas:

- p processors working asynchronously
- Can communicate using g operations to transmit one element of data
- Can synchronise using I sequential operations.


## BSP Algorithms

## Bulk-Synchronous Parallelism

- Computation proceeds in supersteps
- Communication takes place at the end of each superstep
- Between supersteps, barrier-style synchronisation takes place



## BSP Algorithms

## Bulk-Synchronous Parallelism

Superstep $s$ has computation cost $w_{s}$ and communication $h_{s}=\max \left(\mathrm{h}_{\mathrm{s}}^{\text {in }}, \mathrm{h}_{\mathrm{s}}^{\text {out }}\right)$.

When there are S supersteps:
$\Rightarrow$ Computation work

$$
\mathrm{W}=\sum_{1 \leqslant \mathrm{~s} \leqslant \mathrm{~S}} \mathrm{~W}_{\mathrm{s}}
$$

$\Rightarrow$ Communication

$$
H=\sum_{1 \leqslant s \leqslant s} h_{s}
$$

Formula for running time: $\mathrm{T}=\mathrm{W}+\mathrm{g} \cdot \mathrm{H}+\mathrm{I} \cdot \mathrm{S}$.

## Parallel Prefix in BSP

## Definition (Parallel Prefix)

Given $n$ values $x_{1}, x_{2}, \ldots, x_{n}$ and an associative operator $\oplus$, compute the values $x_{1}, x_{1} \oplus x_{2}, x_{1} \oplus x_{2} \oplus x_{3}$, $\ldots, \oplus_{i=1,2, \ldots, n} x_{i}$.

## Fact. . .

Under some natural assumptions, we can carry out a parallel prefix operation over $n$ elements on a BSP computer with $p$ processors using $W=O\left(\frac{n}{p}\right), H=O(p)$ and $\mathrm{S}=\mathrm{O}(1)$.

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## The LCS Problem

## Definition (Input data)

Let $x=x_{1} x_{2} \ldots x_{m}$ and $y=y_{1} y_{2} \ldots y_{n}$ be two strings on an alphabet $\Sigma$.

## Definition (Subsequences)

A subsequence $u$ of $x$ : $u$ can be obtained by deleting zero or more elements from $x$.

## Definition (Longest Common Subsequences)

An $\operatorname{LCS}(x, y)$ is any string which is subsequence of both $x$ and $y$ and has maximum possible length. Length of these sequences: $\operatorname{LLCS}(x, y)$.

## The Semi-local LCS Problem

## Definition (Substrings)

A substring of any string $x$ can be obtained by removing zero or more characters from the beginning and/or the end of $x$.

## Definition (Highest-score matrix)

The element $A(i, j)$ of the LCS highest-score matrix of two strings $x$ and $y$ gives the LLCS of $y_{i} \ldots y_{j}$ and $x$.

## Definition (Semi-local LCS)

Solutions to the semi-local LCS problem are represented by a (possibly implicit) highest-score matrix $A(i, j)$.

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## LCS grid dags and highest-score matrices

- LCS Problem can be represented as longest path problem in a Grid DAG
- String-Substring LCS Problem $\Rightarrow$
$A(i, j)=$ length of longest path from $(0, i)$ to ( $n, j$ ) (top to bottom).


## Example



## Extended grid dag

Infinite extension of the LCS grid dag, outside the core area, everything matches:


The extended highest-score matrix is now defined on indices $[-\infty,+\infty] \times[-\infty,+\infty]$.

## Additional definitions

## Definition (Integer ranges)

We denote the set of integers $\{i, i+1, \ldots, j\}$ as $[i: j]$.

## Definition (Odd half-integers)

We denote half-integer variables using a ${ }^{\wedge}$, and denote the set of half-integers $\left\{i+\frac{1}{2}, i+\frac{3}{2}, \ldots, j-\frac{1}{2}\right\}$ as $\langle i: j\rangle$.

## Critical point theorem

## Definition (Critical Point)

Odd half-integer point ( $i-\frac{1}{2}, j+\frac{1}{2}$ ) is critical iff. $A(i, j)+1=A(i-1, j)=A(i, j+1)=A(i-1, j+1)$.

## Theorem (Schmidt'95, Alves+'06, Tiskin'05)

(1) We can represent a the whole extended highest-score matrix by a finite set of such critical points.
(2) Assuming w.l.o.g. input strings of equal length $n$, there are $\mathrm{N}=2 \mathrm{n}$ such critical points that implicitly represent the whole score matrix.
(3) There is an algorithm to obtain these points in time $\mathrm{O}\left(\mathrm{n}^{2}\right)$.

## Highest-score matrices

Example (Explicit highest-score matrix)

$$
\begin{array}{ccccccccc} 
& \mathbf{0} & \mathbf{1} & \mathbf{2} & \mathbf{3} & \mathbf{4} & \mathbf{5} & \mathbf{6} & \mathbf{7} \\
\mathbf{- 4} & 4 & 4 & 4 & 4 & 4 & 4 & 4 & 4 \\
\mathbf{- 3} & 3 & 3 & 3 & 4 & 4 & 4 & 4 & 4 \\
\mathbf{- 2} & \mathbf{2} & \mathbf{2} & \bullet & 4 & 4 & 4 & 4 & 4 \\
\mathbf{- 1} & 1 & 1 & 2 & 3 & 3 & 3 & 4 & 4 \\
\mathbf{0} & 0 & 1 & 2 & 3 & 3 & 3 & 4 & 4 \\
\mathbf{1} & \mathbf{- 1} & 0 & 1 & 2 & 2 & 2 & 3 & 4 \\
\mathbf{2} & -2 & -1 & 0 & 1 & 1 & 2 & 3 & 4 \\
\mathbf{3} & -3 & -2 & -1 & 0 & 1 & 2 & 3 & 4
\end{array}
$$

## Querying highest-score matrix entries

Theorem (Tiskin'05)
If $\mathrm{d}(\mathrm{i}, \mathrm{j})$ is the number of critical points $(\hat{\imath}, \hat{\jmath})$ in the extended score matrix with $\mathfrak{i}<\hat{\imath}$ and $\hat{\jmath}<\mathfrak{j}$, then $A(i, j)=\mathfrak{j}-\mathrm{i}-\mathrm{d}(\mathrm{i}, \mathfrak{j})$.

Definition (Density and distribution matrices)
The elements $d(i, j)$ form a distribution matrix over the entries of density (permutation) matrix D with nonzeros at all critical points $(\hat{\imath}, \hat{\jmath})$ in the extended highest-score matrix:


Can compute this efficiently using range querying data structures.

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The elements $\mathrm{d}(\mathrm{i}, \mathrm{j})$ form a distribution matrix over the entries of density (permutation) matrix D with nonzeros at all critical points ( $\hat{\imath}, \hat{\jmath}$ ) in the extended highest-score matrix:

$$
d(i, j)=\sum_{(\hat{\imath}, \hat{\jmath}) \in\langle i: N\rangle \times\langle 0: j\rangle} D(\hat{\imath}, \hat{\jmath})
$$

Can compute this efficiently using range querying data structures.

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## Sequential highest-score matrix multiplication

## Algorithm, Tiskin'05

Given the distribution matrices $\mathrm{d}_{\mathrm{A}}$ and $\mathrm{d}_{\mathrm{B}}$ for two adjacent blocks of equal height $M$ and width N in the grid dag, we can compute the distribution matrix $d_{C}$ for the union of these blocks in $\mathrm{O}\left(\mathrm{N}^{1.5}+\mathrm{M}\right)$.


## Sequential highest-score matrix multiplication

Combine critical points by removing double crossings
"Trivial part" $(O(M))$ :


## Sequential highest-score matrix multiplication

Combine critical points by removing double crossings
"Nontrivial part" ( $\mathrm{O}\left(\mathrm{N}^{1.5}\right)$ ):


## Nontrivial part

- The non-trivial part can be seen as (min, +) matrix product ( $\mathrm{d}_{\mathrm{A}|\mathrm{B}| \mathrm{C}}$ are now the nontrivial parts of the corresponding distribution matrices):

$$
d_{C}(i, k)=\min _{j}\left(d_{A}(i, j)+d_{B}(j, k)\right)
$$

- Explicit form, naive algorithm: $\mathrm{O}\left(\mathrm{n}^{3}\right)$
- Explicit form, algorithm that uses highest-score matrix properties: $O\left(n^{2}\right)$
- Implicit form, divide-and-conquer: $\mathrm{O}\left(\mathrm{n}^{1.5}\right)$


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# Divide-and-conquer multiplication 

c-blocks and relevant nonzeros



# Divide-and-conquer multiplication 

C-blocks and relevant nonzeros

relevant nonzeros


C-block sized $h \times h$

# Divide-and-conquer multiplication 

C-blocks and relevant nonzeros

relevant nonzeros


# Divide-and-conquer multiplication 

## C-blocks and relevant nonzeros

relevant nonzeros


# Divide-and-conquer multiplication 

C-blocks and relevant nonzeros

## relevant nonzeros



C-block sized $h \times h$

# Divide-and-conquer multiplication 



Splitting relevant nonzeros in $\mathrm{D}_{\mathrm{A}}$ and $D_{B}$ into two sets at a position $j \in[0: N]$, we get numbers

- $\delta_{A}(j)$ of relevant nonzeros in $D_{A}$ up to column $j-\frac{1}{2}$
- $\delta_{\mathrm{B}}(\mathfrak{j})$ of relevant nonzeros in $\mathrm{D}_{\mathrm{B}}$ starting at row $j+\frac{1}{2}$


## Divide-and-conquer multiplication

 j-blocks
## Example (j-blocks)



Definition ( $\Delta$-sequences)
$\delta$ 's don't change inside a j-block $\Rightarrow$

$$
\begin{aligned}
& \Delta_{\mathrm{A}}^{\square}(\mathrm{d})=\underset{\mathrm{j} \in \mathcal{J} \square}{\mathrm{a}}(\mathrm{~d}) \\
& \Delta_{\mathrm{B}}^{\square}(\mathrm{d})=\underset{\mathrm{B}}{\operatorname{any}} \delta_{\mathcal{T}}^{\square}(\mathrm{j}) \\
& \delta_{\mathrm{B}}^{\square}(\mathrm{j})
\end{aligned}
$$

## Divide-and-conquer multiplication

 j-blocks
## Example (j-blocks)



Definition ( $\Delta$-sequences)
र's don't change inside a j-block $\Rightarrow$

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\begin{aligned}
\Delta_{\mathrm{A}}^{\square}(\mathrm{d}) & =\operatorname{any}_{\mathfrak{j} \in \mathcal{J}^{\square}(\mathrm{d})} \delta_{\mathrm{B}}^{\square}(\mathfrak{j}) \\
\Delta_{\mathrm{B}}^{\square}(\mathrm{d}) & =\underset{\mathfrak{j} \in \mathcal{J}^{\square}(\mathrm{d})}{\operatorname{any}} \delta_{\mathrm{B}}^{\square}(\mathfrak{j})
\end{aligned}
$$

## Divide-and-conquer multiplication

Local minima

## Definition (local minima)

The sequence

$$
M^{\square}(d)=\min _{j \in \mathcal{J} \square(d)}\left(d_{A}\left(i_{0}, j\right)+d_{B}\left(j, k_{0}\right)\right)
$$

contains the minimum of $d_{A}\left(i_{0}, \mathfrak{j}\right)+d_{B}\left(\mathfrak{j}, k_{0}\right)$ in every j-block.

## Divide-and-conquer multiplication

Local minima

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The sequence

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contains the minimum of $d_{A}\left(i_{0}, \mathfrak{j}\right)+d_{B}\left(\mathfrak{j}, k_{0}\right)$ in every j-block.

We can use M's and $\Delta$ 's to compute the number of nonzeros in a C-block.

## Divide-and-conquer multiplication

Sequences $M$ for every C-subblock can be computed in $\mathrm{O}(\mathrm{h})$ :

$$
\begin{aligned}
& M^{\square}\left(\mathrm{d}^{\prime}\right)=\min _{\mathrm{d}} M^{\square}(\mathrm{d}), \\
& M^{\square}\left(\mathrm{d}^{\prime}\right)=\min _{\mathrm{d}} M^{\square}(\mathrm{d})+\bar{\Delta}_{\mathrm{B}}^{\square}(\mathrm{d}), \\
& M^{\square}\left(\mathrm{d}^{\prime}\right)=\min _{\mathrm{d}} M^{\square}(\mathrm{d})+\bar{\Delta}_{A}^{\mathrm{E}}(\mathrm{~d}), \\
& M^{\square \square}\left(\mathrm{d}^{\prime}\right)=\min _{\mathrm{d}} M^{\square}(\mathrm{d})+\bar{\Delta}_{A}^{\mathrm{Q}}(\mathrm{~d})+\bar{\Delta}_{\mathrm{B}}^{\mathbb{Q}}(\mathrm{d})
\end{aligned}
$$

having $\bar{\Delta}_{A}^{\left(i^{\prime}, k^{\prime}, \frac{h}{2}\right)}(\mathrm{d})-\bar{\Delta}_{\mathrm{B}}^{\left(\mathrm{i}^{\prime}, \mathrm{k}^{\prime}, \frac{h}{2}\right)}(\mathrm{d})=\mathrm{d}^{\prime}$ with $\mathrm{d}^{\prime} \in\left[-\frac{h}{2}: \frac{h}{2}\right]$.

## Divide-and-conquer multiplication

- Sequences $\Delta_{A}\left(d^{\prime}\right)$ and $\Delta_{B}\left(d^{\prime}\right)$ can also be determined in $O(h)$ by a scan of the relevant nonzeros for each subblock.
- Knowing $\Delta_{A}\left(d^{\prime}\right), \Delta_{B}\left(d^{\prime}\right)$ and $M\left(d^{\prime}\right)$ for each subblock, we can continue the recursion in every subblock.
- The recursion terminates when N C-blocks of size 1 are left.


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## Basic algorithm idea

- Start the recursion at a point where there are $p$ C-blocks.
- This is at level $\frac{1}{2} \log p$.
- Precompute and distribute the required sequences $\Delta$ and $M$ for each C-block in parallel.
- Every C-block has size $h=\frac{N}{\sqrt{p}}$, and hence requires sequences with $O\left(\frac{\mathrm{~N}}{\sqrt{p}}\right)$ values.
- After these sequences have been precomputed and redistributed, we can use the sequential algorithm to finish the computation.


## Assumptions

Assume that:

- $\sqrt{p}$ is an integer.
- Every processor has unique identifier $q$ with $0 \leqslant q<p$.
- Every processor q corresponds to exactly one location $\left(q_{x}, q_{y}\right) \in[0: \sqrt{p}-1] \times[0: \sqrt{p}-1]$.
- Initial distribution of nonzeros in $\mathrm{D}_{\mathrm{A}}$ and $\mathrm{D}_{\mathrm{B}}$ is assumed to be even among all processors.


## First Step

- Redistribute the nonzeros to strips of width $\frac{N}{p}$
- Send all nonzeros $(\hat{\imath}, \hat{\jmath})$ in $D_{A}$ and $(\hat{\jmath}, \hat{k})$ in $D_{B}$ to processor
$\left\lfloor\left(\hat{\jmath}-\frac{1}{2}\right) \cdot p / N\right\rfloor$.
- Possible in one superstep using communication $\mathrm{O}\left(\frac{\mathrm{N}}{\mathrm{p}}\right)$.



## Precomputing $M$

(1) Compute the elementary (min,+) products $d_{A}\left(o_{x}, j\right)+d_{B}\left(j, o_{y}\right)$ along $\mathrm{j} \in[0: \mathrm{N}]$.

(2) Processor q holds all $\mathrm{D}_{\mathrm{A}}(\hat{\imath}, \hat{\jmath})$ and all $\mathrm{D}_{\mathrm{B}}(\hat{\jmath}, \hat{\mathrm{k}})$
for $\hat{\jmath} \in\left\langle q \cdot \frac{N}{p}:(q+1) \cdot \frac{N}{p}\right\rangle$.
(3) Can compute the values $d_{A}\left(o_{x}, j\right)$ and $d_{B}\left(j, o_{y}\right)$ by using parallel prefix/suffix.
(4) After prefix and suffix
computations, every processor
holds N/p values
$d_{A}\left(o_{x}, j\right)+d_{B}\left(j, o_{y}\right)$
for $j \in\left[q \cdot \frac{N}{\sqrt{p}}:(q+1) \cdot \frac{N}{\sqrt{p}}\right]$

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(1) Compute the elementary (min,+) products $d_{A}\left(o_{x}, j\right)+d_{B}\left(j, o_{y}\right)$ along $\mathrm{j} \in[\mathrm{O}: \mathrm{N}]$.

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(4) After prefix and suffix computations, every processor holds $\mathrm{N} / \mathrm{p}$ values
$\mathrm{d}_{\mathrm{A}}\left(\mathrm{o}_{\mathrm{x}}, \mathfrak{j}\right)+\mathrm{d}_{\mathrm{B}}\left(\mathrm{j}, \mathrm{o}_{y}\right)$
for $j \in\left[q \cdot \frac{N}{\sqrt{p}}:(q+1) \cdot \frac{N}{\sqrt{p}}\right]$.

## Redistribution Step


$p$ vertical strips $\frac{N}{p}$ nonzeros each

$\sqrt{\mathrm{p}}$ horizontal strips with $\frac{\mathrm{N}}{\sqrt{\mathrm{p}}}$ nonzeros each

## Analysis

- Computational work bounded by the sequential recursion:
$\mathrm{W}=\mathrm{O}\left((\mathrm{N} / \sqrt{\mathfrak{p}})^{1.5}\right)=\mathrm{O}\left(\mathrm{N}^{1.5} / \mathrm{p}^{0.75}\right)$
- Every processor holds $O(N / p)$ nonzeros before redistribution.
- Every nonzero is relevant for $\sqrt{p}$ C-blocks.
$\Rightarrow \mathrm{O}(\mathrm{N} / \sqrt{\mathrm{p}})$ communication for redistributing the nonzeros.

- $\mathrm{S}=\mathrm{O}(1)$ (parallel prefix)


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- Every nonzero is relevant for $\sqrt{p}$ C-blocks.
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- Every processor holds $\mathrm{O}(\mathrm{N} / \mathrm{p})$ nonzeros before redistribution.
- Every nonzero is relevant for $\sqrt{p}$ C-blocks.
$\Rightarrow \mathrm{O}(\mathrm{N} / \sqrt{\mathrm{p}})$ communication for redistributing the nonzeros.
$\Rightarrow \mathrm{H}=\mathrm{O}(\mathrm{N} / \sqrt{\mathrm{p}}+\mathrm{p}+\mathrm{N} / \sqrt{\mathrm{p}})=\mathrm{O}(\mathrm{N} / \sqrt{\mathrm{p}})$ (if $N / \sqrt{p}>p \quad \rightarrow N>p^{1.5}$ )
- $S=O(1)$ (parallel prefix)


## Analysis

- Computational work bounded by the sequential recursion:

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## Quadtree Merging

- First, compute scores for a regular grid of $p$ sub-dags of size $n / \sqrt{p} \times n / \sqrt{p}$
- Then merge these in a quadtree-like scheme using parallel score-matrix multiplication:



## Analysis

- Quadtree has $\frac{1}{2} \log _{2} p$ levels
- On level $l, 0 \leqslant l \leqslant \frac{1}{2} \log _{2} p$, we have
- $p_{l}=\frac{p}{4^{l}}$ (number of processors that work together on one merge)
- $\mathrm{N}_{\mathrm{l}}=\frac{\mathrm{N}}{2^{\mathrm{l}}}$ (block size of merge)
$\Rightarrow w_{l}=\mathrm{O}\left(\frac{\left(\frac{\mathrm{N}}{2^{\mathrm{L}}}\right)^{1.5}}{\left(\frac{\mathrm{p}}{4^{\mathrm{l}}}\right)^{0.75}}\right)=\mathrm{O}\left(\frac{\mathrm{N}^{1.5}}{\mathrm{p}^{0.75}}\right)$
$\Rightarrow h_{l}=\mathrm{O}\left(\frac{\frac{\mathrm{N}}{2^{l}}}{\left(\frac{p}{4^{l}}\right)^{0.5}}\right)=\mathrm{O}\left(\frac{\mathrm{N}}{\mathrm{p}^{0.5}}\right)$


## Analysis

- Quadtree has $\frac{1}{2} \log _{2} p$ levels

Hence, we get

- work $\mathrm{W}=\mathrm{O}\left(\frac{\mathfrak{n}^{2}}{\mathrm{p}}+\frac{\mathrm{N}^{1.5} \log \mathrm{p}}{\mathrm{p}^{0.75}}\right)=\mathrm{O}\left(\mathrm{n}^{2} / \mathrm{p}\right)$
(assuming that $n \geqslant p^{2}$ ),
- communication $\mathrm{H}=\mathrm{O}\left(\frac{n \log p}{\sqrt{p}}\right)$, and
- $S=O(\log p)$ supersteps.


## Comparison to other parallel algorithms

| W | H | S | References |
| :---: | :---: | :---: | :---: |
| Global LCS |  |  |  |
| $\mathrm{O}\left(\frac{\mathrm{n}^{2}}{\mathrm{p}}\right)$ | $\mathrm{O}(\mathrm{n})$ | $\mathrm{O}(\mathrm{p})$ | [McColl'95]+ <br> [Wagner \& Fischer'74] |
| String-Substring LCS |  |  |  |
| $\mathrm{O}\left(\frac{\mathrm{n}^{2}}{\mathrm{p}}\right)$ | $\mathrm{O}\left(\mathrm{Cp}^{1 / \mathrm{C}} \mathrm{n} \log \mathrm{p}\right)$ | $\mathrm{O}(\log p)$ | [Alves+'03] |
| $\mathrm{O}\left(\frac{\mathrm{n}^{2}}{\mathrm{p}}\right)$ | $\mathrm{O}(\mathrm{n} \log \mathrm{p})$ | $\mathrm{O}(\log p)$ | [Tiskin'05], <br> [Alves+:06] |
| String-Substring, Prefix-Suffix LCS |  |  |  |
| $\mathrm{O}\left(\frac{\mathrm{n}^{2} \log \mathrm{n}}{\mathrm{p}}\right)$ | $\mathrm{O}\left(\frac{\mathrm{n}^{2} \log p}{\mathrm{p}}\right)$ | $\mathrm{O}(\log p)$ | [Alves+'02] |
| $\mathrm{O}\left(\frac{\mathrm{n}^{2}}{\mathrm{p}}\right)$ | $\mathrm{O}(\mathrm{n})$ | $\mathrm{O}(\mathrm{p})$ | $\begin{gathered} \text { [McColl'95]+ } \\ \text { [Alves+'06], [Tiskin'05] } \end{gathered}$ |
| $\mathrm{O}\left(\frac{\mathrm{n}^{2}}{\mathrm{p}}\right)$ | $O\left(\frac{n \log p}{\sqrt{p}}\right)$ | $\mathrm{O}(\log p)$ | NEW |

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We have looked at a parallel algorithm for semilocal string comparison that is

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- Score matrix multiplication can also be applied to create a scalable algorithm for the longest
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## Thank you!

## Any questions?

